

**ANNEXURE D TO THE DIRECTORS' REPORT****FORM NO. MGT 9 - EXTRACT OF ANNUAL RETURN AS ON FINANCIAL YEAR ENDED ON MARCH 31, 2020.**

(Pursuant to Section 92 (3) of the Companies Act, 2013 and rule 12(1) of the Company (Management & Administration) Rules, 2014.)

<b>I</b>	<b>REGISTRATION &amp; OTHER DETAILS:</b>	
i	CIN	U29150MH1953PLC009158
ii	Registration Date	October 30, 1953
iii	Name of the Company	OTIS ELEVATOR COMPANY (INDIA) LIMITED
iv	Category of the Company	PUBLIC COMPANY
v	<b>Address of the Registered office &amp; contact details</b>	
	Address:	9 <sup>th</sup> FLOOR, MAGNUS TOWERS, MINDSPACE, LINK ROAD, MALAD WEST
	Town/City:	MUMBAI - 400 064
	State:	MAHARASHTRA
	Country Name:	INDIA
	Telephone (with STD Code):	022-28449700
	Fax Number:	022-28449791
	Email Address:	harish.iyer@otis.com
	Website, if any:	www.otis.com
vi	Whether listed company	NO
vii	<b>Name and Address of Registrar &amp; Transfer Agents (RTA):-</b>	
	Name of RTA:	LINK INTIME INDIA PRIVATE LIMITED
	Address:	C - 101, 247 PARK, L.B.S. MARG, VIKHROLI (WEST)
	Town/City:	MUMBAI
	State:	MAHARASHTRA
	Pin Code:	400083
	Telephone:	022-49186270
	Fax Number:	022-49106060
	Email Address:	rnt.helpdesk@linkintime.co.in

**II. PRINCIPAL BUSINESS ACTIVITY OF THE COMPANY**

Sl. No.	Name and Description of main products/services	NIC Code of the Product/service	% to total turnover of the company
1	Manufacture and Maintenance of Elevator/Lifts	2915*	100

\* Source: As per NIC-2004 available on site of Ministry of Corporate Affairs

**III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES**

No. of Companies for which information is being filled	2
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S. No.	NAME AND ADDRESS OF THE COMPANY	CIN/GLN/UEN	HOLDING/ SUBSIDIARY/ ASSOCIATE	% of shares held	Applicable Section
1	Supriya Elevator Company (India) Limited	U29150TN2008PLC068160	Subsidiary	100.00	2(87)
2	Otis International Asia Pacific Pte. Ltd.	201206739G	Holding	98.24	2(46)

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### IV. SHARE HOLDING PATTERN (Equity Share Capital Breakup as percentage of Total Equity)

#### i. Category-wise Share Holding

Category of Shareholders	No. of Shares held at the beginning of the year (as on April 1, 2019)				No. of Shares held at the end of the year (as on March 31, 2020)				% Change during the year
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
<b>A. Promoter's</b>	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.
<b>(1) Indian</b>									
a) Individual / HUF	0	0	0	0%	0	0	0	0%	0%
b) Central Govt	0	0	0	0%	0	0	0	0%	0%
c) State Govt(s)	0	0	0	0%	0	0	0	0%	0%
d) Bodies Corp.	0	0	0	0%	0	0	0	0%	0%
e) Banks / FI	0	0	0	0%	0	0	0	0%	0%
f) Any other	0	0	0	0%	0	0	0	0%	0%
<b>Sub-Total (A) (1)</b>	0	0	0	0%	0	0	0	0%	0%
<b>(2) Foreign</b>									
a) NRI - Individual	0	0	0	0%	0	0	0	0%	0%
b) Other - Individual	0	0	0	0%	0	0	0	0%	0%
c) Bodies Corp.	11599819	0	11599819	98.24%	11599819	0	11599819	98.24%	0%
d) Banks / FI	0	0	0	0%	0	0	0	0%	0%
e) Any Others	0	0	0	0%	0	0	0	0%	0%
<b>Sub-Total (A) (2)</b>	11599819	0	11599819	98.24%	11599819	0	11599819	98.24%	0%
<b>Total shareholding of Promoter</b>									
<b>(A) = (A)(1) + (A)(2)</b>	11599819	0	11599819	98.24%	11599819	0	11599819	98.24%	0%
<b>B. Public Shareholding</b>									
<b>1. Institutions</b>									
a) Mutual Funds	25	0	25	0.00%	25	0	25	0.00%	0%
b) Banks / FI	164	963	1127	0.01%	0	963	963	0.01%	0%
c) Central Govt	0	0	0	0.00%	0	0	0	0.00%	0%
d) State Govt(s)	0	0	0	0.00%	0	0	0	0.00%	0%
e) Venture Capital Funds	0	0	0	0.00%	0	0	0	0.00%	0%
f) Insurance Companies	0	0	0	0.00%	50	0	50	0.00%	0%
g) FIs	0	0	0	0.00%	0	0	0	0.00%	0%
h) Foreign Venture Capital Funds	0	0	0	0.00%	0	0	0	0.00%	0%
i) Others (Trust Employees)	0	0	0	0.00%	40	0	40	0.00%	0%
<b>Sub-total (B) (1):-</b>	189	963	1152	0.01%	115	963	1078	0.01%	0%
<b>3. Non-Institutions</b>									
a) Bodies Corp.									
i) Indian	5511	0	5511	0.05%	2217	0	2217	0.05%	-0.01%
ii) Overseas	0	0	0	0%	0	0	0	0.00%	0%
b) Individuals									
i) Individual shareholders holding nominal share capital upto Rs. 1 lakh	118151	79283	197434	1.67%	101662	72298	173960	1.67%	0.00%
ii) Individual shareholders holding nominal share capital in excess of Rs 1 lakh	0	0	0	0%	0	26977	26977	0.00%	0%
c) Others (specify)									
Non Resident Individuals	3861	445	4306	0.04%	3726	445	4171	0.04%	-0.02%
Non Domestic Company	0	0	0	0.00%	0	0	0	0.00%	0
<b>Sub-total (B) (2):-</b>	127523	79728	207251	1.75%	107605	99720	207325	1.75%	0%

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Category of Shareholders	No. of Shares held at the beginning of the year (as on April 1, 2019)				No. of Shares held at the end of the year (as on March 31, 2020)				% Change during the year
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
<b>Total Public Shareholding(B) = (B)(1)+(B)(2)</b>	<b>127712</b>	<b>80691</b>	<b>208403</b>	<b>1.76%</b>	<b>107720</b>	<b>100683</b>	<b>208403</b>	<b>1.76%</b>	<b>0%</b>
<b>C. Shares held by Custodian for GDRs &amp; ADRs</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0%</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>
<b>Grand Total (A+B+C)</b>	<b>11727531</b>	<b>80691</b>	<b>11808222</b>	<b>100%</b>	<b>11707539</b>	<b>100683</b>	<b>11808222</b>	<b>100%</b>	<b>0%</b>

#### ii Shareholding of Promoters

SI No.	Shareholder's Name	Shareholding at the beginning of the year			Share holding at the end of the year			% change in share holding during the year
		No. of Shares	% of total Shares of the company	% of Shares Pledged/encumbered to total shares	No. of Shares	% of total Shares of the company	% of Shares Pledged/encumbered to total shares	
1	Otis International Asia Pacific Pte. Ltd.	11599819	98.24%	0.00%	11599819	98.24%	0.00%	N.A.
	<b>TOTAL</b>	<b>11599819</b>	<b>98.24%</b>	<b>0.00%</b>	<b>11599819</b>	<b>98.24%</b>	<b>0.00%</b>	<b>N.A.</b>

#### iii Change in Promoters' Shareholding: Not Applicable since there was no change during the year

SI. No. I - Mr. _____		Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of Shares	% of total shares of the company	No. of Shares	% of total shares of the company
At the beginning of the year		-	-	-	-
Changes during the year					
<b>Increase</b>					
<b>Date</b>	<b>Reason for Increase</b>				
-	-	-	-	-	-
-	-	-	-	-	-
-	-	-	-	-	-
<b>Decrease</b>					
<b>Date</b>	<b>Reason for Decrease</b>				
-	-	-	-	-	-
-	-	-	-	-	-
At the End of the year		-	-	-	-

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### iv Shareholding Pattern of top ten Shareholders (other than Directors, Promoters and Holders of GDRs and ADRs):

Sl. No.	Name & Type of Transaction	Shareholding at the beginning of the year - 2019		Change in Shareholding (Number of Shares)		Shareholding at the end of the year	
		No. of Shares Held	% of Total Shares of The Company	Increase	Decrease	No. of Shares Held	% of Total Shares of The Company
1	Vinod Dadlani	6570	0.06%	-	-	6570	0.06%
2	Sanjivnath P J	2988	0.03%	-	-	2988	0.03%
3	Ashok Dass	2390	0.02%	-	-	2390	0.02%
4	Nariman Hormusji Daroowala	2158	0.02%	-	-	2158	0.02%
5	Gaurang Navinchandra Shah	1950	0.02%	-	-	1950	0.02%
6	Yunus Zia	2300	0.02%	-	-	2300	0.02%
7	Mohammad Junaid Farooq	2200	0.02%	-	-	2200	0.02%
8	Anjana Vasant Jhaveri	2086	0.02%	-	-	2086	0.02%
9	Zainuddin Hatim Popat	2030	0.02%	-	-	2030	0.02%
10	Taral Harshad Kumar Patel	0	0.00%	2014	-	2014	0.02%

\* The details of holding has been clubbed based on PAN.

# % of total Shares of the Company is based on the paid up Capital of the Company at the end of the Year.

### v Shareholding of Directors and Key Managerial Personnel: NIL

Sl. No. I - Mr. _____		Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of Shares	% of total shares of the company	No. of Shares	% of total shares of the company
At the beginning of the year		-	-	-	-
Changes during the year					
<b>Increase</b>					
<b>Date</b>	<b>Reason for Increase</b>				
-	-	-	-	-	-
-	-	-	-	-	-
-	-	-	-	-	-
-	-	-	-	-	-
<b>Decrease</b>					
<b>Date</b>	<b>Reason for Decrease</b>				
-	-	-	-	-	-
-	-	-	-	-	-
At the End of the year		-	-	-	-

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### V. INDEBTEDNESS

**Indebtedness of the Company including interest outstanding/accrued but not due for payment**

Indebtedness at the beginning of the financial year	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtness
i) Principal Amount	0	0	0	0
ii) Interest due but not paid	0	0	0	0
iii) Interest accrued but not due	0	0	0	0
<b>Total (i+ii+iii)</b>	0	0	0	0
Change in Indebtedness during the financial year	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtness
* Addition	0	0	0	0
* Reduction	0	0	0	0
<b>Net Change</b>	0	0	0	0
Change in Indebtedness during the financial year	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtness
i) Principal Amount	0	0	0	0
ii) Interest due but not paid	0	0	0	0
iii) Interest accrued but not due	0	0	0	0
<b>Total (i+ii+iii)</b>	0	0	0	0

### VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

A. Remuneration to Managing Director, Whole-time Directors and/or Manager:

(Amount in Rs.)

Sl. No.	Particulars of Remuneration	Name of MD/WT/ Manager		Total Amount
		Sebi Joseph Managing Director	Suma Puthan (WTD)	
1	Gross salary			
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	3,62,33,222	1,75,27,294	5,37,60,516
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	65,49,600	0	65,49,600
	(c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961	0	0	0
2	Stock Option	3,22,24,905	0	3,22,24,905
3	Sweat Equity	0	0	0
4	Commission			
	- as % of profit	0	0	0
	- others, specify	0	0	0
5	Others, please specify	0	0	0
	<b>Total (A)</b>	<b>7,50,07,727</b>	<b>1,75,27,294</b>	<b>9,25,35,021</b>

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B. Remuneration to other Directors: (Amount in Rs.)

Sl. No.	Particulars of Remuneration	Name of Directors		Total Amount
		Mr. P.S.Dasgupta	Mr. Anil Vaish	
1	<b>Independent Directors</b>			
	Fee for attending board & committee meetings	1,20,000	2,70,000	3,90,000
	Commission	7,50,000	7,50,000	15,00,000
	Others, please specify	-	-	-
	<b>Total (1)</b>	<b>8,70,000</b>	<b>10,20,000</b>	<b>18,90,000</b>
2	<b>Other Non-Executive Directors</b>			
	Fee for attending board committee meetings	-	-	-
	Commission	-	-	-
	Others, please specify	-	-	-
	<b>Total (2)</b>	<b>-</b>	<b>-</b>	<b>-</b>
	<b>Total (B)=(1+2)</b>	<b>8,70,000</b>	<b>10,20,000</b>	<b>18,90,000</b>
	<b>Total Managerial Remuneration</b>	<b>8,70,000</b>	<b>10,20,000</b>	<b>18,90,000</b>

C. Remuneration To Key Managerial Personnel Other Than MD/Manager/WTD (Amount in Rs.)

Sl. No.	Particulars of Remuneration	Key Managerial Personnel		
		Harish Iyer Company Secretary	Bharat Nayak CFO	Total
1	Gross salary			
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	27,20,845	1,77,80,570	2,05,01,415
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	0	6,88,440	6,88,440
	(c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961	0	0	0
2	Stock Option	0	0	0
3	Sweat Equity	0	0	0
4	Commission			
	- as % of profit	0	0	0
	- others, specify...	0	0	0
5	Others, please specify	0	0	0
	<b>Total</b>	<b>27,20,845</b>	<b>1,84,69,010</b>	<b>2,11,89,855</b>

### VII. PENALTIES/PUNISHMENT/COMPOUNDING OF OFFENCES: Nil

Type	Section of the Companies Act	Brief Description	Details of Penalty/Punishment/Compounding fees imposed	Authority [RD/NCLT/COURT]	Appeal made, if any (give Details)
<b>A. COMPANY</b>					
Penalty	-	-	-	-	-
Punishment	-	-	-	-	-
Compounding	-	-	-	-	-
<b>B. DIRECTORS</b>					
Penalty	-	-	-	-	-
Punishment	-	-	-	-	-
Compounding	-	-	-	-	-
<b>C. OTHER OFFICERS IN DEFAULT</b>					
Penalty	-	-	-	-	-
Punishment	-	-	-	-	-
Compounding	-	-	-	-	-