

## ANNEXURE D TO DIRECTORS' REPORT

FORM NO. MGT 9 - EXTRACT OF ANNUAL RETURN AS ON FINANCIAL YEAR ENDED ON MARCH 31, 2021  
(Pursuant to Section 92 (3) of the Companies Act, 2013 and rule 12(1) of the Company (Management & Administration) Rules, 2014.)

I REGISTRATION & OTHER DETAILS:		
i	CIN	U29150MH1953PLC009158
ii	Registration Date	October 30, 1953
iii	Name of the Company	OTIS ELEVATOR COMPANY (INDIA) LIMITED
iv	Category of the Company	PUBLIC COMPANY
v	Address of the Registered office & contact details	
	Address :	MAGNUS TOWERS, 9TH FLOOR, MINDSPACE, LINK ROAD, MALAD (WEST)
	Town / City :	MUMBAI - 400064
	State :	MAHARASHTRA
	Country Name :	INDIA
	Telephone (with STD Code) :	022 -28449700
	Fax Number :	022-28449791
	Email Address :	rutika.pawar@otis.com
	Website, if any:	www.otis.com
vi	Whether listed company	No
vii	Name and Address of Registrar & Transfer Agents ( RTA ):-	
	Name of RTA:	LINK INTIME INDIA PRIVATE LIMITED
	Address :	C - 101, 247 PARK, L.B.S. MARG, VIKHROLI (WEST)
	Town / City :	MUMBAI
	State :	MAHARASHTRA
	Pin Code:	400083
	Telephone :	022-49186270
	Fax Number :	022-49106060
	Email Address :	rint.helpdesk@linkintime.co.in

### II. PRINCIPAL BUSINESS ACTIVITY OF THE COMPANY

Sl. No.	Name and Description of main products / services	NIC Code of the Product / service	% to total turnover of the company
1	Manufacture and Maintenance of Elevator/Lifts	2915*	100

\* Source: As per NIC-2004 available on site of Ministry of Corporate Affairs

### III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES

No. of Companies for which information is being filled	2
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S. No.	NAME AND ADDRESS OF THE COMPANY	CIN/GLN	HOLDING/ SUBSIDIARY /ASSOCIATE	% of shares held	Applicable Section
1	Supriya Elevator Company (India) Limited	U29150TN2008PLC068160	Subsidiary	100.00	2(87)
2	Otis International Asia Pacific Pte Ltd	201206739G	Holding	98.24	2(46)

CERTIFIED TRUE COPY  
OTIS ELEVATOR COMPANY (INDIA) LTD.  
*Rutika*  
COMPANY SECRETARY

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### IV. SHARE HOLDING PATTERN (Equity Share Capital Breakup as percentage of Total Equity)

#### I. Category-wise Share Holding

Category of Shareholders	No. of Shares held at the beginning of the year (as on April 1, 2020)				No. of Shares held at the end of the year (as on March 31, 2021)				% Change during the year
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
<b>A. Promoter's</b>	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.
<b>(1) Indian</b>									
a) Individual/ HUF	0	0	0	0%	0	0	0	0%	0%
b) Central Govt	0	0	0	0%	0	0	0	0%	0%
c) State Govt(s)	0	0	0	0%	0	0	0	0%	0%
d) Bodies Corp.	0	0	0	0%	0	0	0	0%	0%
e) Banks / FI	0	0	0	0%	0	0	0	0%	0%
f) Any other	0	0	0	0%	0	0	0	0%	0%
<b>Sub-Total (A) (1)</b>	0	0	0	0%	0	0	0	0%	0%
<b>(2) Foreign</b>									
a) NRI - Individual/	0	0	0	0%	0	0	0	0%	0%
b) Other - Individual/	0	0	0	0%	0	0	0	0%	0%
c) Bodies Corp.	11599819	0	11599819	98.24%	11599819	0	11599819	98.24%	0%
d) Banks / FI	0	0	0	0%	0	0	0	0%	0%
e) Any Others	0	0	0	0%	0	0	0	0%	0%
<b>Sub-Total (A) (2)</b>	11599819	0	11599819	98.24%	11599819	0	11599819	98.24%	0%
<b>Total shareholding of Promoter (A)=(A)(1) +(A)(2)</b>	11599819	0	11599819	98.24%	11599819	0	11599819	98.24%	0%
<b>B. Public Shareholding</b>									
<b>1. Institutions</b>									
a) Mutual Funds	25	0	25	0.00%	25	0	25	0.00%	0%
b) Banks / FI	114	963	1077	0.01%	114	963	1077	0.01%	0%
c) Central Govt	0	0	0	0.00%	0	0	0	0.00%	0%
d) State Govt(s)	0	0	0	0.00%	0	0	0	0.00%	0%
e) Venture Capital Funds	0	0	0	0.00%	0	0	0	0.00%	0%
f) Insurance Companies	50	0	50	0.00%	50	0	50	0.00%	0%
g) FIs	0	0	0	0.00%	0	0	0	0.00%	0%
h) Foreign Venture Capital Funds	0	0	0	0.00%	0	0	0	0.00%	0%
i) Others (Trust	0	0	0	0.00%	0	0	0	0.00%	0%
<b>Sub-total (B)(1):-</b>	189	963	1152	0.01%	189	963	1152	0.01%	0%
<b>2. Non-Institutions</b>									
a) Bodies Corp.									
i) Indian	0	0	0	0.00%	0	0	0	0.00%	0.00%
ii) Overseas	0	0	0	0.00%	0	0	0	0.00%	0.00%
b) Individuals									
i) Individual shareholders holding nominal share capital upto Rs. 1 lakh	122584	72298	194882	1.65%	121690	71754	193444	1.64%	0.00%
ii) Individual shareholders holding nominal share capital in excess of Rs 1 lakh	0	0	0	0%	0	0	0	0.00%	0%
NBFCs registered with RBI	0	0	0	0%	0	0	0	0.00%	0%
Trust employee	40	0	40	0	40	0	40	0	0
Overseas Depositories (holding DRs) (balancing figure)	0	0	0	0	0	0	0	0	0
c) Others (specify)									
Hindu Undivided Family	4824	0	4824	'0.0409	5926	0	5926	'0.0502	'0.0093
Foreign Companies	0	0	0	0	0	0	0	'0.0000	'-98.2351
Non Resident Indians (Non Repat)	4098	100	4198	'0.0356	4098	100	4198	'0.0356	'0.0000
Non Resident Indians Clearing Member	604	345	949	'0.0080	604	345	949	'0.0080	'0.0000
Bodies Corporate	5	0	5	'0.0000	5	0	5	'0.0000	'0.0000
<b>Sub-total (B)(2):-</b>	134508	72743	207251	1.75%	135052	72199	207251	1.75%	0%
<b>Total Public Shareholding (B)=(B)(1)+ (B)(2)</b>	134697	73706	208403	1.76%	135241	73162	208403	1.76%	0%
<b>C. Shares held by Custodian for GDRs &amp; ADRs</b>	0	0	0	0%	0	0	0	0.00%	0%
<b>Grand Total (A+B+C)</b>	11734618	73706	11808222	100%	11735060	73162	11808222	100%	0%

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### ii Shareholding of Promoters

SI No.	Shareholder's Name	Shareholding at the beginning of the year			Share holding at the end of the year			% change in share holding during the year
		No. of Shares	% of total Shares of the company	% of Shares Pledged / encumbered to total shares	No. of Shares	% of total Shares of the company	% of Shares Pledged / encumbered to total shares	
1	Otis International Asia Pacific Pte Ltd	11599819	98.24%	0.00%	11599819	98.24%	0.00%	N.A.
	<b>TOTAL</b>	<b>11599819</b>	<b>98.24%</b>	<b>0.00%</b>	<b>11599819</b>	<b>98.24%</b>	<b>0.00%</b>	<b>N.A.</b>

### iii Change in Promoters' Shareholding : Not Applicable since there was no change during the year

SI. No. I - Mr. _____	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
	No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
At the beginning of the year	0	0%	0	0%
Changes During the Year				
Increase				
Date	Reason for Increase			
Decrease				
Date	Reason for Decrease			
At the End of the year	0	0%	0	0%

### iv Shareholding Pattern of top ten Shareholders (other than Directors, Promoters and Holders of GDRs and ADRs):

SI. No.: 1 For Each of the Top 10 Shareholders	Shareholding at the beginning of the year		Change in Shareholding (Number of Shares)		Cumulative Shareholding at the end of the year - 2021	
	No. of shares	% of total shares of the company	Increase	Decrease	No. of shares	% of total shares of the company
1. Vinod Dadlani	6570	0.06%	-	-	6570	0.06%
2. Reehna D Chandan	2988	0.0005%	-	-	2988	0.0005%
3. Sanjivnath P J	2988	0.03%	-	-	2988	0.03%
4. Ashok Dass	2390	0.02%	-	-	2390	0.02%
5. Yunus Zia	2300	0.02%	-	-	2300	0.02%
6. Mohammad Junaid Farooq	2200	0.02%	-	-	2200	0.02%
7. Nariman Hormusji Daroovala	2158	0.02%	-	-	2158	0.02%
8. Zainuddin Hatim Papat	2030	0.02%	-	-	2030	0.02%
9. Taral Harshad Kumar Patel	2014	0.02%	-	-	2014	0.02%
10. Anjana Vasant Jhaveri	2086	0.02%	-	-	2086	0.02%

\* The details of holding has been clubbed based on PAN.

# % of total Shares of the Company is based on the paid up Capital of the Company at the end of the Year.

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v. Shareholding of Directors and Key Managerial Personnel: NIL

S. No.: 1 Mr. _____	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
	No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
At the beginning of the year	0	0%	0	0%
Changes During the Year				
<b>Increase</b>				
Date	Reason for Increase			
<b>Decrease</b>				
Date	Reason for Decrease			
At the End of the year	0	0%	0	0%

v INDEBTEDNESS

Indebtedness of the Company including interest outstanding/accrued but not due for payment

Indebtedness at the beginning of the financial year	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtness
i) Principal Amount	0	0	0	0
ii) Interest due but not paid	0	0	0	0
iii) Interest accrued but not due	0	0	0	0
<b>Total (i+ii+iii)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Change in Indebtedness during the financial year	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtness
* Addition	0	0	0	0
* Reduction	0	0	0	0
<b>Net Change</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Indebtedness at the end of the financial year	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtness
i) Principal Amount	0	0	0	0
ii) Interest due but not paid	0	0	0	0
iii) Interest accrued but not due	0	0	0	0
<b>Total (i+ii+iii)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

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### VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

#### A. Remuneration to Managing Director, Whole-time Directors and/or Manager:

Amount in Rs.

Sl. no.	Particulars of Remuneration	Name of MD/WTD/ Manager			Total Amount
		Sebi Joseph Managing Director	Suma Puthan (WTD)	Bharat Nayak (WTD)	
1	Gross salary				
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	3,40,58,333	1,77,80,209	1,80,59,831	6,98,98,373
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	84,50,180	0	7,90,004	92,40,184
	(c) Profits in lieu of salary under section 17(3) Income- tax Act, 1961	0	0	0	0
2	Stock Option	3,77,91,709	0	0	3,77,91,709
3	Sweat Equity	0	0	0	0
4	Commission				
	- as % of profit	0	0	0	0
	- others, specify	0	0	0	0
5	Others, please specify	0	0	0	0
	Total (A)	8,03,00,222	1,77,80,209	1,88,49,835	11,69,30,266

#### B. Remuneration to other directors:

Amount in Rs.

Sl. no.	Particulars of Remuneration	Name of Directors		Total Amount
		Mr. P.S.Dasgupta	Mr. Anil Valsh	
1	<b>Independent Directors</b>			
	Fee for attending board & committee meetings	-	-	-
	Commission	-	-	-
	Others, please specify	-	-	-
	Total (1)	-	-	-
2	<b>Other Non-Executive</b>			
	Fee for attending board committee meetings	-	-	-
	Commission	-	-	-
	Others, please specify	-	-	-
	Total (2)	-	-	-
	Total (B)=(1+2)	-	-	-
	Total Managerial Remuneration	-	-	-

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C. Remuneration To Key Managerial Personnel Other Than MD/Manager/WTD

Amount in Rs

Sl. no.	Particulars of Remuneration	Key Managerial Personnel		
		Harish Iyer Company Secretary	Bharat Nayak CFO	Total
1	Gross salary			
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	15,35,531	1,80,59,831	1,95,95,362
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	-	7,90,004	7,90,004
	(c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961	-	-	-
2	Stock Option	-	-	-
3	Sweat Equity	-	-	-
4	Commission	-	-	-
	- as % of profit	-	-	-
	- others, specify...	-	-	-
5	Others, please specify	-	-	-
	Total	15,35,531	1,88,49,835	2,03,85,366

VII. PENALTIES / PUNISHMENT/ COMPOUNDING OF OFFENCES: NIL

Type	Section of the Companies Act	Brief Description	Details of Penalty / Punishment/ Compounding fees imposed	Authority [RD / NCLT/ COURT]	Appeal made, if any (give Details)
<b>A. COMPANY</b>					
Penalty	-	-	-	-	-
Punishment	-	-	-	-	-
Compounding	-	-	-	-	-
<b>B. DIRECTORS</b>					
Penalty	-	-	-	-	-
Punishment	-	-	-	-	-
Compounding	-	-	-	-	-
<b>C. OTHER OFFICERS IN DEFAULT</b>					
Penalty	-	-	-	-	-
Punishment	-	-	-	-	-
Compounding	-	-	-	-	-